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| APPLICATION NO.         | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
|-------------------------|-------------|----------------------|-------------------------|------------------|--|
| 08/847,967              | 04/22/1997  | Isy Goldwasser       | 016703-00080 2173       |                  |  |
| 7590 01/12/2006         |             |                      | EXAMINER                |                  |  |
| MADELINE JOHNSTON, ESQ. |             |                      | EPPERSON, JON D         |                  |  |
| KING & SPALI            |             | ART UNIT             | PAPER NUMBER            |                  |  |
| ATLANTA, GA 30303-1763  |             |                      | 1639                    | ·                |  |
|                         |             |                      | DATE MAILED: 01/12/2006 |                  |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|  | -  | Application N        | o.  | Applicant(s)           |              |  |  |  |
|--|--|----------------------|---|------------------------|--------------|--|--|--|
|  |  | 08/847,967           |   | GOLDWASSER ET AL.      |              |  |  |  |
| Office Action Summary  |  | Examiner             |   | Art Unit               |              |  |  |  |
|  |  | Jon D. Epperse       | on  | 1639                   |              |  |  |  |
| Period fo  | The MAILING DATE of this communication apports. The ply  | pears on the cov     | er sheet with the c                       | orrespondence ac       | ddress       |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |  |                      |   |                        |              |  |  |  |
| Status   |  |                      | •   |                        |              |  |  |  |
| 1)[🛛   | Responsive to communication(s) filed on 12 A   | A <i>pril 2005</i> . |   |                        |              |  |  |  |
| 2a)  | This action is <b>FINAL</b> . 2b)⊠ This  | s action is non-f    | inal.                                     |                        |              |  |  |  |
| 3)   | Since this application is in condition for allowa  | ince except for t    | ormal matters, pro                        | secution as to the     | e merits is  |  |  |  |
|  | closed in accordance with the practice under t   | Ex parte Quayle      | , 1935 C.D. 11, 45                        | 33 O.G. 213.           |              |  |  |  |
| Disposit   | ion of Claims  |                      |   |                        |              |  |  |  |
| 4)🖂  | Claim(s) See Continuation Sheet is/are pendir  | ng in the applica    | ation.                                    |                        |              |  |  |  |
|  | 4a) Of the above claim(s) is/are withdra   | -                    |   |                        |              |  |  |  |
| 5)   | Claim(s) is/are allowed.   |                      |   |                        |              |  |  |  |
| 6)⊠  | 6)⊠ Claim(s) <u>8,10,11,15-24,26,30-35,42,43,45-49,51-56,58-60,64-72,74-91,93,95,96,98 and 99</u> is/are rejected. |                      |   |                        |              |  |  |  |
| 7)🖂  | Claim(s) 94 and 97 is/are objected to.   |                      |   |                        |              |  |  |  |
| 8)   | Claim(s) are subject to restriction and/o  | or election requi    | rement.                                   |                        |              |  |  |  |
| Applicat   | ion Papers   |                      |   |                        |              |  |  |  |
| 9)   | 9)☐ The specification is objected to by the Examiner.  |                      |   |                        |              |  |  |  |
| 10)  | The drawing(s) filed on is/are: a) acc   | cepted or b) 🗌 d     | bjected to by the B                       | Examiner.              |              |  |  |  |
|  | Applicant may not request that any objection to the  | drawing(s) be he     | ld in abeyance. See                       | e 37 CFR 1.85(a).      |              |  |  |  |
|  | Replacement drawing sheet(s) including the correct   | tion is required if  | the drawing(s) is obj                     | jected to. See 37 C    | FR 1.121(d). |  |  |  |
| 11)  | The oath or declaration is objected to by the Ex   | xaminer. Note tl     | ne attached Office                        | Action or form P       | TO-152.      |  |  |  |
| Priority (   | under 35 U.S.C. § 119  |                      |   |                        |              |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  |  |                      |   |                        |              |  |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of:   |  |                      |   |                        |              |  |  |  |
|  | 1. Certified copies of the priority documents have been received.  |                      |   |                        |              |  |  |  |
|  | 2. Certified copies of the priority documents have been received in Application No                                 |                      |   |                        |              |  |  |  |
|  | 3. Copies of the certified copies of the priority documents have been received in this National Stage              |                      |   |                        |              |  |  |  |
|  | application from the International Bureau (PCT Rule 17.2(a)).  |                      |   |                        |              |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.   |  |                      |   |                        |              |  |  |  |
|  |  |                      |   |                        |              |  |  |  |
|  |  |                      |   |                        |              |  |  |  |
| Attachmen  | t(s)   |                      |   |                        |              |  |  |  |
| 1) Notice  | e of References Cited (PTO-892)  | 4) [                 | Interview Summary                         | (PTO-413)              |              |  |  |  |
|  | e of Draftsperson's Patent Drawing Review (PTO-948)  | _ ۲                  | Paper No(s)/Mail Da  Notice of Informal P |                        | 0.453)       |  |  |  |
|  | nation Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>r No(s)/Mail Date <u>3/25/05</u> .                       |                      | Other:                                    | atent Application (PTI | U-132)       |  |  |  |
| U.S. Patent and T  | rademark Office  |                      |   |                        |              |  |  |  |
| PTOL-326 (R  | ev. 7-05) Office A   | ction Summary        | Pa  | rt of Paper No./Mail D | ate 20051231 |  |  |  |

### Continuation Sheet (PTOL-326)

Application No. 08/847,967

Continuation of Disposition of Claims: Claims pending in the application are 8,10,11,15-24,26,30-35,42,43,45-49,51-56,58-60,64-72,74-91 and 93-99.

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#### DETAILED ACTION

### Request for Continued Examination (RCE)

1. A request for continued examination under 37 CFR § 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under Ex Parte Quayle, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 3/25/05 has been entered. Claims 8, 10, 11, 15-24, 26, 30-35, 42, 43, 45-49, 51-56, 58-60, 64-72, 74-91 and 93-99 are currently pending and examined on the merits. Those sections of Title 35, US code, not included in the instant action can be found in previous office actions.

2. Notice of allowability for all pending claims is hereby withdrawn in view of the newly cited art (see below). Please note the Examiner's amendment (e.g., see 2/12/04 Allowance, page 3, paragraph 4) in any subsequently submitted updated set of claims.

#### Information Disclosure Statement

3. The references listed on applicant's PTO-1449 form have been considered by the Examiner. A copy of the form is attached to this Office Action (e.g., 3/25/05 IDS).

#### Objections to the Claims

4. Claim 77 is objected to because of the following informalities:

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A. Claim 77 improperly lists the dependent claim numbers as "42, 68 or 70 or 74" using the word "or" twice. The Examiner recommends 42, 68, 70 or 74

### Claims Rejections - 35 U.S.C. 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- Claims 8, 10, 11, 15-19, 23, 24, 26, 30-33, 42, 43, 45-49, 51-55, 59, 60, 64-72, 74-78, 80, 82, 83, 88-91, 93, 96 and 99 are rejected under 35 U.S.C. 102(b) as being anticipated by Pohm et al. (Pohm, A. –V.; Wang, J. -M.; Lee, F. S.; Schnasse, W.; Smay, T. A. "High-Density Very Efficient Magnetic Film Memory Arrays" IEEE Transactions on Magnetics, 1969, Mag-5, 3, 408-412) as evidenced by Maxwell et al. (Maxwell, J.; Doty, M. "Processing Guidelines for S.M.P.S. Multilayer Ceramic Capacitors", 2005, 1-6) and Kitada et al. (Kitada, M.; Yamamoto, H.; Tsuchiya, H. "Reaction Between Permalloy and Several Thin Metal Films" Thin Solid Films 1984, 122, 173-182).

For *claim 8, 42, 68*, Pohm et al. (see entire document) disclose a method for forming a memory array (e.g., see Pohm et al, abstract), which anticipates the claimed invention. For example, Pohm et al. disclose forming ten or more inorganic materials on ten or more predefined discrete regions of a rigid substrate using a mask (e.g., see figure 1 showing >10 inorganic materials formed on a rigid glass substrate the locations of which were "predefined" by the use a flat wire mask and sequential vapor deposition

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techniques; see also Table I showing composition of inorganic materials that were deposited at each site including Cr, Ni-Fe, Cu and Ti; see also Experimental Equipment and Results section). In addition, Pohm et al. disclose that each of the materials is different from each other (e.g., see Table I wherein each layer differs in terms of its thickness). For example, the "Cr" is deposited in layers between 100-300 A, the "Ni-Fe" layers are 1000-1500 A, etc. (e.g., see Table I). Thus, ten different positions on the array, for example, could contain the following compositions by simply varying the maximum and minimum values at each position:

|     | Cr  | Ni-Fe | Cu   | Ti  | Ni-Fe |
|-----|-----|-------|------|-----|-------|
| #1  | 100 | 1500  | 1500 | 400 | 1500  |
| #2  | 300 | 1500  | 1500 | 400 | 1500  |
| #3  | 100 | 1000  | 1500 | 400 | 1500  |
| #4  | 300 | 1000  | 1500 | 400 | 1500  |
| #5  | 100 | 1500  | 5000 | 400 | 1500  |
| #6  | 300 | 1500  | 5000 | 400 | 1500  |
| #7  | 100 | 1500  | 1500 | 200 | 1500  |
| #8  | 300 | 1500  | 1500 | 200 | 1500  |
| #9  | 100 | 1500  | 1500 | 400 | 1000  |
| #10 | 300 | 1500  | 1500 | 400 | 1000  |

In addition, the at least ten materials differed in their size and shape (e.g., see figure 1(a) showing different sizes and shapes for each material i.e., compare material on outer edge

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of array to a material in the center of the array; see also paragraph bridging pages 408 and 409, "Different spacing, both in word and digit line directions, were provided so different sized storage cells could be studied and compared"; see also page 410, paragraph 1, "... word lines with widths of 0.001, 0.002, 0.003 and 0.004 inch were deposited"; see especially figure 2 showing formation of different sizes and shapes). Pohm et al. also disclose forming the array by delivering a first component of the material to the respective predefined discrete region of the substrate to form a first solid layer of the first component on the substrate and delivering a second component of the material to the respective predefined discrete region to form a second solid layer of the second component on the first layer (e.g., see figure 1 wherein the "Cr" is delivered to a first region on the substrate as a "first component" and "Ni-Fe" is delivered as a "second component" and forms a layer on tope of the first layer; alternatively see Table 1 showing that Ni-Fe, Cu and Ti could also be the "first component" with Cu, Ti or Ni-Fe as the second component i.e., any layer that is deposited before another layer can be considered as the "first component"; see also Experimental Equipment and Results section, "The experimental film arrays were made by sequential vapor deposition ... The evaporation mask consists of two orthogonal nickel-chrome wire configurations"; see also specification, page 13, line 20 showing that component can form "layers"). In addition, Pohm et al. disclose varying the composition, concentration, stoichiometry or thickness of the delivered first or second components between respective regions (e.g., see Table I wherein the composition is varied according the amount of Cr, N-Fe, Cu, Ti and Ni-Fe that is deposited at each individual location e.g., 100 Cr, 1500 Ni-Fe, 1500 Cu, 400 Ti

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and 1500 Ni-Fe has a different composition and thickness than 300 Cr, 1500 Ni-Fe, 1500 Cu, 400 Ti and 1500 Ni-Fe; see also paragraph bridging pages 408-409 showing thickness of word line widths to differ from about 0.001 inch to 0.004 inch; see also figure 1(a) showing different thicknesses for various positions on the array).

In addition, Pohm et al. disclose a sufficient amount of space between the ten or more regions such that the delivered components do not substantially interdiffuse between the ten or more regions of the substrate (e.g., see figures 1-2; see also Evaporation Mask and Procedure section).

For *claims 10 and 11*, Pohm et al. do not explicitly disclose the amounts of Cr, Ni-Fe, Cu, Ti and Ni-Fe for each position in the array, but they do disclose that the amounts vary for each layer and thus the array must inherently disclose a wide range of amounts for both the first and/or second components i.e., 100-300 A for Cr, 1000-1500 Ni-Fe, etc. varied independently for each position (e.g., see Table I where any of the layers numbered 1-4 could be the first component and any of the layers numbered 2-5 could be the second component). The picture in figure 1(a) also lends support to this conclusion as the sample array is not consistent at all positions. "When the PTO shows a sound basis for believing that the products of the applicant and the prior art are the same, the applicant has the burden of showing that they are not." *In re Spada*, 911 F.2d 705, 709, 15 USPQ2d 1655, 1658 (Fed. Cir. 1990). The Office does not have the facilities to make such a comparison and the burden is on the applicants to establish the difference. See *In re Best*, 562 F.2d 1252, 195 USPQ 430 (CCPA 1977) and *Ex parte Gray*, 10 USPQ 2d 1922 1923 (PTO Bd. Pat. App. & Int.).

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For *claims 15-19 and 82*, Pohm et al. disclose one thousand or more different materials comprising two or more layers with a density greater than about 10 regions per cm<sup>2</sup> (e.g., see page 411, column 2, paragraph 1, "For example, two 2 by 2-inch substrates should be capable of storing 1024 128-bit words or more"; see also claim 72 above with regard to the density limitation).

For *claims 23 and 75*, Pohm et al. disclose ten or more regions of the substrate that are defined by chemical or physical barriers such as a mask (e.g., see page 408, column 2, last paragraph wherein a nickel-chrome wire mask is disclosed; see also figure 2).

For *claim 24*, Pohm et al. disclose chemical vapor deposition techniques (e.g., see Pohm et al., page 408, column 2, "Evaporation Mask and Procedure" section).

For *claim 26*, Pohm et al. disclose useful magnetic and/or electrical properties (e.g., see figures 3-6).

For *claims 30-33*, Pohm et al. disclose five different components including Cr, Ni-Fe, Cu, Ti and a second Ni-Fe (e.g., see Table I) and also the use of ferrite i.e., a sixth component (e.g., see figure 1).

For *claims 43, 69 and 71*, Pohm et al. disclose screening the at least ten different materials for a useful property of interest, and determining the relative performance of at least ten different materials with respect to the property of interest (e.g., see figure 3 where signal current is monitored for different size words lines on the array; see also figure 4-6).

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For *claim 45*, Pohm et al. disclose "layers" of the delivered components (e.g., see Table I and figure 1).

For *claims 46-48 and 77*, Pohm et al. also disclose a method wherein each of the at least tee different materials further comprises allowing the delivered first and second components of the material to simultaneously interact under a set of conditions (e.g., see figures 3-6; see also page 411, connections section).

For *claim 49*, Pohm et al. do not disclose that a reaction takes place between to or more of the components, but the Examiner contends that the permalloy layers react with the underlying metal layers as evidenced by Kitada et al. (see abstract) and thus this feature is <u>inherently</u> disclosed (e.g., see Kitada et al., results; see especially page 181, Summarizing Remarks, "Although ... copper ... and chromium reacted with permalloy ...").

For *claims 51-55 and 72*, Pohm et al. also disclose, in addition to the limitations discussed above, forming one hundred or more solid inorganic materials on one hundred or more predefined discrete regions of a rigid substrate, respectively, each of at least one hundred of the materials being different from each other (e.g., see figure 1(a) showing ~150 different solid inorganic materials located at predefined positions in an array which differ is size, shape and composition as discussed above, for example, in claim 68). Pohm et al. also disclose allowing the delivered first and second components of the material to simultaneously interact under a set of conditions (e.g., figure 3 wherein materials interact to produce a signal; see also figures 4-6). Pohm et al. also disclose a substrate comprising at least one hundred material-containing regions at a density of

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greater than about 10 regions per cm<sup>2</sup> (e.g., see page 410 column 1, paragraph 1 disclosing 0.008 inch, i.e., ~.02 centimeters, element-to-element distance, which would provide ~ 50 elements × 50 elements = 250 elements/cm<sup>2</sup>). Pohm et al. also disclose screening these elements for their ability to function in computer memory storage (e.g., see figures; see also page 411, column 2, paragraph 1, "The extremely high element density permits a single manufactured unit of modest size and cost to embody a relatively large storage array. For example, two 2 by 2 inch substrates should be capable of storing 1024 128-bit words or more").

For *claim 59*, Pohm et al. disclose metal alloys (e.g., see Table 1 wherein Ni-Fe is disclosed).

For *claim 60*, Pohm et al. disclose the use of ceramics such as ferrite (e.g., see figure 1).

For *claim 64*, Pohm et al. disclose solid delivery (e.g., see figures 1 and 2).

For *claim 65*, Pohm et al. disclose sequential deposition of three layers (e.g., see figures 1 and 2; see also Table I; see also Experimental section).

For *claim 66*, Pohm et al. disclose electron beam evaporation (e.g., see page 408, column 2, second to last paragraph, "The experimental film arrays were made by sequential <u>vapor deposition</u> of the different materials by <u>electron beam heating</u>").

For *claims 67 and 83*, Pohm et al. disclose components that are both different (e.g., see Table 1 showing different thicknesses; see also figure 2 showing different word dimensions) and the same (e.g., see figure 2 showing materials with the same spacing; see also figures 3 and 6 showing, for example, permalloy layers of the same height). In

addition, the Examiner notes that both the "same" and "different" compositions and/or thickness would be immediately envisioned by a person of skill in the art from the teachings of the reference when taken as a whole because Pohm et al. disclose that these steps were routine in the art (e.g., see In re Graves, 69 F.3d 1147, 36 USPO2d 1697 (Fed. Cir. 1995) (prior art reference disclosing a system for testing the integrity of electrical interconnections that did not specifically disclose simultaneous monitoring of output points still anticipated claimed invention if simultaneous monitoring is within the knowledge of a skilled artisan); see also In re Donohue, 766 F.2d 531, 533 (Fed. Cir. 1985) (prior art anticipates a claim if it discloses the claimed invention such that a skilled artisan could take its teachings and his own knowledge to possess the claimed invention); see also In re LeGrice, 301 F.2d 929, 936 (C.C.P.A. 1962) (same); see also In re Best 562 F.2d 1252, 1254, 195 USPQ 430,433 (CCPA 1997). Evidence that these steps are within the knowledge of a skilled artisan in accordance with *In re Graves* (see above) can be illustrated by the Pohm et al. reference itself which calls these steps "rudimentary" (e.g., see page 411, column 2, paragraph 1).

For *claim 70*, Pohm et al. also disclose, in addition to the limitations discussed above, delivering five or more components of the material to the respective predefined discrete region of the substrate to form five or more solid layers of the delivered components, each of at least five of the delivered components being an inorganic element or compound (e.g., see Table 1 wherein the 5 layers are numbered 1-5 and include C4, Ni-Fe, Cu, Ti and Ni-Fe).

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For *claim 74*, Pohm et al. also disclose the use of composite materials in addition to the limitations discussed above (e.g., see Table I wherein the Cr, Ni-Fe, Cu, Ti and Ni-Fe layers represent the constituents of the composite materials because they "retain their identities" even when they act in concert for the purpose of computer memory storage; see also specification, page 17, lines 1-6 wherein composite materials are defined).

For *claim 76*, Pohm et al. also disclose wells formed by layers of chromium (e.g., see figure 1, showing 0.004" well formed between two Cr/Cu layers).

For *claims 78 and 80*, Pohm et al. disclose 10 or more inorganic materials (e.g., see figures 1 and 2 showing materials formed by various layers of Cr, Ni-Fe, Cu, Ti and Ni-Fe).

For *claim 88 and 89*, Pohm et al. also disclose "repeating" the steps of deposition and varying the composition, concentration, stoichiometry or thickness of the delivered first and second components (e.g., see figures 1 and 2 where the steps are "repeated" in different regions) and further disclose screening this array for its ability to function in memory storage as described above.

For *claim 90*, Pohm et al. also disclose fiver layers and thus includes a third layer (e.g., see Table I).

For *claim 91*, Pohm et al. also disclose annealing with, for example, soldering techniques (e.g., see page 411, paragraph bridging columns 1-2).

For *claim 93*, Pohm et al. do not disclose the exact soldering temperature but the Examiner contends that about 200-300 °C would inherently be disclosed by Pohm et al. as evidenced by Maxwell (e.g., see Maxwell et al., page 5, column 1, paragraph 1), which

shows tat "reflow soldering" is typically performed at 220-225 °C and must be performed at least at 200-205 °C to insure proper wetting and solder joint formation.

For *claims 96 and 99*, Pohm et al. disclose changing the composition between regions by depositing layers of different thicknesses (e.g., see above, claim 68, table outlining different compositions).

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 6. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 7. Claims 8, 10, 11, 15-24, 26, 30-35, 42, 43, 45-49, 51-56, 58-60, 64-72, 74-91, 93, 95, 96, 98 and 99 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pohm et al. (Pohm, A. –V.; Wang, J. -M.; Lee, F. S.; Schnasse, W.; Smay, T. A. "High-Density Very Efficient

Magnetic Film Memory Arrays" IEEE Transactions on Magnetics, 1969, Mag-5, 3, 408-412) in view of Howard et al. (Howard, J. K.; White, J. F.; Ho, P. S. "Intermetallic compounds of Al and transitions metals: Effect of electromigration in 1-2-μm-wide lines" J. Appl. Phys. 49(7), 1978, 4083-4093) and Makino et al. (Makino, K.; Kawakami, S.; Orihara, S.; Sakai, S. "A Highly Reliable Plated Wire: Study on Corrosion of Magnetic Films" IEEE Transactions on Magnetics 1973, Mag-9, 3, 500-503) and Lee (Lee, F. S. "A High-Density Coupled-Magnetic-Film Memory Array" IEEE Transactions on Magnetics 1971, Mag-7, 4, 808-872) and Brown et al. (Brown, et al. "High Density Devices using Permalloy propagation of wall-coded bubbles" IEEE Transactions on Magnetics 1979, Mag-15, 6, 1501-1506) and Jubb et al. (Jubb et al., "Coercivity, structure, and stoichiometry of Permalloy/alumina multilayers" J. Appl. Phys. 1985, 57, 1, 4192-4194) as evidenced by Maxwell et al. (Maxwell, J.; Doty, M. "Processing Guidelines for S.M.P.S. Multilayer Ceramic Capacitors", 2005, 1-6) and Kitada et al. (Kitada, M.; Yamamoto, H.; Tsuchiya, H. "Reaction Between Permalloy and Several Thin Metal Films" Thin Solid Films 1984, 122, 173-182).

For *claims 8, 10, 11, 15-19, 23, 24, 26, 30-33, 42, 43, 45-49, 51-55, 59, 60, 64-72, 74-78, 80, 82, 83, 88-91, 93, 96 and 99*, Pohm et al. teach all the limitations stated in the 35 U.S.C. 102(b) rejection above (incorporated in its entirety herein by reference), which anticipates and, as a result, renders obvious claims 8, 10, 11, 15-19, 23, 24, 26, 30-33, 42, 43, 45-49, 51-55, 59, 60, 64-72, 74-78, 80, 82, 83, 88-91, 93, 96 and 99.

The prior art teaching of Pohm et al. differ from the claimed invention as follows:

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For *claims 20-22, 56 and 81*, Pohm et al. do not disclose 10,000-1,000,000 different materials. Pohm et al. only disclose ~1,000 materials on the array (e.g., see Pohm et al. rejection for claim 19 under 35 U.S.C. 102(b) above).

For *claims 34, 35 and 69*, Pohm et al. fail to disclose a total of 7 or 8 components and/or the use of polymeric materials

For claim 58, Pohm et al. fail to disclose the use of intermetallics.

For *claims 84-87*, Pohm et al. fail to disclose the use of a "gradient" between respective regions.

For *claim 95*, Pohm et al. do not disclose three layers with thickness ranging from about 100 A to 1000 A.

For *claim 98*, Pohm et al. do not disclose purposefully altering the stoichiometry of the components (e.g., the first and second permalloy layers).

However, the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al. teach the following limitations that are deficient in Pohm et al.:

For *claims 20-22, 56 and 81*, the combined references of Lee, Makino and Howard et al. teach the use of "high density" magnetic memory arrays (e.g., see Lee, abstract; see also page 808, column 2, paragraph 1 wherein >6400 elements/in<sup>2</sup> are disclosed; see also Brown et al., figure 1, wherein the spacing between elements is reduced by a factor of 1000 to 1-2  $\mu$ m). With respect to the repetition of steps and/or duplication of parts (i.e. number of samples analyzed or number of substrate regions), the courts have consistently held that the mere duplication of parts has no patentable significance unless new and unexpected results are produced (e.g., see *In re Harza*, 274

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F.2d 669, 671, 124 USPQ 378, 380 (CCPA 1960)) ("It is well settled that the mere duplication of parts has no patentable significance unless a new and unexpected result is produced . . . . "). Here, a person would have been motivated to make larger arrays with a larger number of materials to increase the memory capacity (i.e., the size of the array would simply depend on the amount of memory one wished to produce). Pohm et al. described his deposition tools as "rudimentary" even back in 1969 (~25 years before Applicants' earliest effective filing date), which led to the production of ~1,000 samples. Thus, with the advent of 25 years of continued research, progress in the formation of larger arrays would be expected. Furthermore, Pohm et al. state that only a unit of "modest" size can produce a 1,024 array using "rudimentary" techniques and that "better approaches" were available even back in 1969 (e.g., see Pohm et al., page 411, column 2, paragraph 1 where the author clearly envisioned producing larger libraries, ""For example, two 2 by 2-inch substrates should be capable of storing 1024 128-bit words or more"; see also Lee, page 868, column 2 paragraph 1 showing 6400 elements/in<sup>2</sup>, which would produce an array of 25,600 elements for the 2×2 inch substrate disclosed by Pohm et al.). Thus, a person of skill in the art would reasonably have expected to be successful because better techniques and larger units and better fabrication techniques were available even in 1969 and later publications show that fabrication line widths could be reduced by several orders of magnitude (e.g., see The combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al., Introduction; see also Conclusion, "experiments show that 1-2 \(\mu\) m wide conductors for integrated-circuit

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applications can be fabricated using an Al-based metallurgy to yield excellent electromigration lifetimes")

For *claims 34, 35 and 69*, the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al. disclose the use of eight components including a polymeric material. For example, Makino et al. disclose the use of a two layer Ni-P/polyparaxylylen polymer to protect permalloy in from oxidation. Thus, when combined with the teachings of Pohm et al. an "eight" layer (component) array would be produced (e.g., Cr, Ni-Fe, Cu, Ti, Ni-F, Ferrite, Ni-P, polyparaxylylen). In addition, the array would contain the "polymeric" polyparaxylylen.

For *claim 58*, the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al. disclose intermetallic compounds of Al (e.g., see Howard et al., abstract and title).

For *claims 84-87*, the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al. disclose the use of a thickness gradient when creating memory chips (e.g., see Brown et al., figure 8 showing thickness gradient between 2.7  $\mu$ m and 1.6  $\mu$ m regions).

For *claim 95*, Pohm et al. disclose two layers ranging from 100 A to about 1000 A and a third layer that overlaps with this range e.g., 1000-1500 A (see Table 1), which renders the claimed ranged prima facie obvious. For example, in the case where the claimed ranges "overlap or lie inside ranges disclosed by the prior art" a prima facie case of obviousness exists. *In re Wertheim*, 541 F.2d 257, 191 USPQ 90 (CCPA 1976); *In re Woodruff*, 919 F.2d 1575, 16 USPQ2d 1934 (Fed. cir. 1990).

For *claim 98*, the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al. disclose altering the stoichiometry of permalloy layers in magnetic devices because this alteration changes that materials favorable properties in magnetic devices (e.g., see Jubb et al., title, "Coercivity, structure, and <u>stoichiometry</u> of Permalloy/alumina multilayers"; see also abstract and Results section).

It would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to use the Ni-P/polyparaxylylen layers as disclosed by the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al. to protect the permalloy films from corrosion as disclosed by Pohm et al. because the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al. explicitly state that their Ni-P/polyparaxylylen layers can be used for this purpose (e.g., see Makino et al., abstract). Furthermore, a person of skill in the art would have been motivated to use the Ni-P/polyparaxylylen layers because Makino et al., for example, state, "The Ni-P layer coated on the film is remarkably effective to prevent corrosion ... [and] no corrosion takes place [when Ni-P is used in conjunction with polyparaxylylen]" (e.g., see Makino et al., page 502, Conclusion). The combined references of Makino et al. also state that such protection is useful for improving the reliability of memory storage devices (e.g., see Makino et al., Introduction and Discussion), which would encompass the memory storage application disclosed by Pohm et al. Furthermore, a person of ordinary skill in the art would have reasonably expected to be successful because the Ni-P/polyparaxylylen layers are used in conjunction with permalloy films like the permalloy film disclosed by Pohm et al.

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It would also have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to use intermetallic compounds as taught by the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al. to construct the memory cells as taught by Pohm et al. because the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al. explicitly state that intermetallic compounds can be used in integrated-circuit technology (e.g., see Introduction), which would encompass the memory cell applications of Pohm et al. and/or Lee and/or Makino et al. (e.g., see Pohm et al., abstract). Furthermore, one of ordinary skill in the art would have been motivated to use the intermetallic compound of Al because the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard state that their use can lead to a reduction in the line width of metal interconnections which is favorable for integrated-circuit design (e.g., see Howard et al., Introduction; see also Conclusion, "experiments show that 1-2  $\mu$ m wide conductors for integrated-circuit applications can be fabricated using an Al-based metallurgy to yield excellent electromigration lifetimes"). Furthermore, one of ordinary skill in the art would have reasonably expected to be successful because both Pohm et al. and the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard teach that their method can be applied for integrated-circuit technology using metal deposition techniques. Finally, it would have been prima facie obvious to use the bubble design with the thickness gradient as disclosed by Brown et al., in conjunction with memory chip design disclosed by Pohm et al. because Brown et al. teach that such a design can improve the storage capacity of the memory chip. A person would reasonably have

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expected to be successful because Brown et al. disclose the use of Ni-Fe layers like the ones disclosed by Pohm et al. in the memory construction.

In addition, it would have been prima facie obvious to one of ordinary skill in the art to alter the stoichiometry of the permalloy layer as taught by the combined references of Lee, Brown et al., Makin et al., Jubb et al., and Howard et al. to study and/or change the magnetic properties of the memory array as disclosed by Pohm et al. because Jubb et al., for example, explicitly state that such an alteration would have an effect on the materials low coercivities, minimal eddy current losses, and excellent high-frequency response (e.g., see Jubb et al., Introduction), which plays a favorable role in magnetic recording applications like the memory chip disclosed by Pohm et al. (e.g., see Pohm et al., abstract). In addition, a person of skill in the art would have been motivated to alter the stoichiometry to find the optimum working conditions for the memory device as the stoichiometry directly affects the materials performance in such applications (e.g., see Jubb et al., Results and discussion). A person of skill in the art would reasonably have expected to be successful because multi-layer permalloys are used in both references.

# Allowable Subject Matter

8. Claim 94 and 97 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

## Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jon D Epperson whose telephone number is (571) 272-0808. The examiner can normally be reached Monday-Friday from 9:00 to 5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Wang can be reached on (571) 272-0811. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (571) 272-1600.

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Jon D. Epperson, Ph.D. January 2, 2006

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